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PATENT

Docket No. 2016-4010US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#16
Jmaon
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Applicant(s) or Patentee(s) : Jason D. Bannan et al. Group Art Unit: To be assigned
Serial No. : 09/335,581 Examiner: To be assigned
Filed or Issued : June 18, 1999
For : **PEPTIDES USEFUL FOR REDUCING SYMPTOMS OF TOXIC SHOCK SYNDROME AND SEPTIC SHOCK**

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR § 1.9 (f) and § 1.27 (d)) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION The Rockefeller University

ADDRESS OF ORGANIZATION 1230 York Avenue, New York, NY 10021-6399

TYPE OF ORGANIZATION

- ☒ University or other institution of higher education
☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3))
☐ Nonprofit scientific or educational under statute of state of The United States of America

(Name of state _____)

(Citation of statute _____)

- ☒ Would qualify as tax exempt under Internal Revenue Service code (26 USC §§ 501(a) and 501(c) (3)) if located in the United States of America
☐ Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located in the United States of America

(Name of state _____)

(Citation of statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR § 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled **PEPTIDES USEFUL FOR REDUCING SYMPTOMS OF TOXIC SHOCK SYNDROME AND SEPTIC SHOCK** by inventor(s) Jason D. Bannan, Kumar Visvanathan and John B. Zabriskie described in

- ☐ the specification filed herewith
☒ application Serial No. 09/335,581, filed June 18, 1999
☐ Patent No. _____, issued _____



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